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PTO/SB/21 (12/97)

Approved for use through 9/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	27	Application No.	09/488,945
		Filing Date	January 19, 2000
		First Named Inventor	Steve Ames
		Group Art Unit	2756
		Examiner Name	Mark H. Rinehart
		Attorney Docket Number	082771.P118C

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Cited References (20 pages)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William W. Schaal, Reg. No. 39,018  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	August 4, 2000

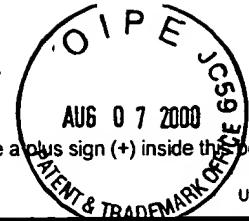
### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

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Signature		Date	August 4, 2000

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity  
statement, otherwise large entity fees must be paid. See Forms  
B705/99-12  
See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT** **( \$ )**

Complete if Known

Application No.	09/488,945
Filing Date	January 19, 2000
First Named Inventor	Steve Ames
Examiner Name	Mark H. Rinehart
Group/Art Unit	2756
Attorney Docket Number	082771.P118C

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees to:  
 The Commissioner is hereby authorized to credit any over payments to:

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

2.  Payment Enclosed:  
 Check  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

**SUBTOTAL (1)**

**( \$ )**

### 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
<input type="text"/>				
<input type="text"/>				
Multiple Dependent				

\*or number previously paid, if greater. For Reissues, see below

### Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	78	202 39 Independent claims in excess of 3
104	260	204 130 Multiple Dependent claim, if not paid
109	78	209 39 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

**( \$ )**

## 3. ADDITIONAL FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65	130 65	Surcharge - late filing fee or oath	
127	50	227 25	227 25	Surcharge - late provisional filing fee or cover sheet	
139	130	139 130	139 130	Non-English specification	
147	2,520	147 2,520	147 2,520	For filing a request for reexamination	
112	920 *	112 920 *	112 920 *	Requesting publication of SIR prior to Examiner action	
113	1,840 *	113 1,840 *	113 1,840 *	Requesting publication of SIR after Examiner action	
115	110	215 55	215 55	Extension for response within first month	
116	380	216 190	216 190	Extension for response within second month	
117	870	217 435	217 435	Extension for response within third month	
118	1,210	218 680	218 680	Extension for response within fourth month	
128	1,850	228 925	228 925	Extension for response within fifth month	
119	300	219 150	219 150	Notice of Appeal	
120	300	220 150	220 150	Filing a brief in support of an appeal	
121	260	221 130	221 130	Request for oral hearing	
138	1,510	138 1510	138 1510	Petition to institute a public use proceeding	
140	110	240 55	240 55	Petition to revive - unavoidable	
141	1,210	241 605	241 605	Petition to revive - unintentional	
142	1,210	242 605	242 605	Utility issue fee (or reissue)	
143	430	243 215	243 215	Design issue fee	
144	580	244 290	244 290	Plant issue fee	
122	130	122 130	122 130	Petitions to the Commissioner	
123	50	123 50	123 50	Petitions related to provisional applications	
126	240	126 240	126 240	Submission of Information Disclosure Stmt	
581	40	581 40	581 40	Recording each patent assignment per property (times number of properties)	
146	790	246 395	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249 395	249 395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					

AUG 10 2000  
REC'D FEE

\* Reduced by Basic Filing Fee Paid

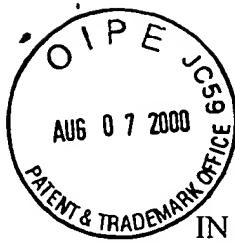
**SUBTOTAL (3)**

**( \$ )**

Complete (if applicable)

Typed or Printed Name	William W. Schaal	Reg. Number	39,018
Signature		Date	08/04/00
Deposit Account User ID	02-2666		

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Attorney Docket No.: 082771.P118C

3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

STEVE AMES, ET AL.

Application No.: 09/488,945

Filed: January 19, 2000

For: **METHOD AND APPARATUS FOR  
FORWARDING TRAFFIC BETWEEN  
LOCALITY ATTACHED NETWORKS  
USING LEVEL 3 ADDRESSING**

Art Group: 2756

Examiner: Mark H. Rinehart

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with their duty of disclosure under 37 CFR § 1.56 and in accordance with 37 CFR § 1.97(b), Applicants submit the enclosed Form PTO-1449, including copies of the cited art listed therein.

Applicants contend that the cited art does not preclude the patentability of the present invention because the cited art neither anticipates nor renders obvious the present invention.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 8/4/00

William W. Schaal, Reg. No. 39,018

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (714) 557-3800

**CERTIFICATE OF MAILING**  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: .

Susie Dionne  
Susie Dionne

August 4, 2000  
Date

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